Family Office Winter Forum

March 1, 2018

New York, NY

Thursday, March 1, 2018

7:00AM-8:30AM	Exhibit Set up	
7:30 AM–7:00 PM	Exhibit Hall / Session Rooms Open	
7:30AM-7:00PM	Registration Opens	
7:15AM-8:30AM	Networking Breakfast	
8:00AM	Co-Chair Opening Remarks	
	TRACK A	TRACK B
8:15AM	The Modern Day Family Office: How to Properly Structure & Select Resources That Fit Your Family's Needs • What are the key considerations for families or individuals establishing a family office? • What are key planning mechanisms that a family office should consider before they set up a family office? • Why does a family need a strategy? How do you formulate one? What components and considerations must go into your planning process? • Setting your family wealth strategy. Setting your family business strategy. Planning for the investible: Estate Tax Considerations • During this panel, leading family office advisors will share best practices on the legal, financial, compliance, estate planning, tax, family governance, investments and wealth transfer considerations that need to be assessed when establishing a de novo family office Moderator: Kirby Rosplock, Founder, Tamarind Partners Inc	Non-Correlated Alternative Fund Strategies Moderator: Aviva Pinto, Director, Bronfman E.L. Rothschild (MFO) Panelists: Andrew Bloomfield, Associate Director, Record Currency Management Limited Sal Bruno, Chief Investment Officer, IndexIQ Julie DeMatteo, Managing Director, RJ OASIS Robert Zimmer, CFA, Portfolio Manager, ClariVest Asset Management LLC

	Panelists: Thomas Handler, Partner & Chairman of Advanced Planning and Family Office Practice Group, Handler Thayer, LLP Fredda Herz Brown, Principal, Relative Solutions LLC Michael V. Merrigan, Founder & Managing Member, Shadmoor Advisors, Inc. Elizabeth Perlak, Director of Legal Services, TriNet HR III, Inc.	
	TRACK A	TRACK B
9:15AM	Investing in the Cannabis Industry - Private vs. Public? - Ancillary non-regulated businesses vs. Regulated “touching the plant” business investments? - Importance for cannabis to be part of your investment portfolio - What are additional diligence items and risks important for investing in this business category? - Should i invest in a fund or into specific companies as a direct investment? - What are the ranges of opportunities both domestically and internationally? Moderator: Randy Slifka, Managing Principal, Slifka Asset Management Panelists: Kevin Murphy, CEO, High Street Capital Partners John Pellitteri, CPA, Accounting Services & Healthcare Segment Partner, Grassi & Co Justin Singer. CEO & Co-Founder, Stillwater Brands	Hedge Funds: Breaking Down What’s Happening and Where Should We Be Looking? - HF Skill Sets – what’s commoditized, what’s not? - Impact of Tax Reform on HF strategies - The Environment – where to look for opportunities? - Strategy Notes: big data/AI, quantitative, specialist, event driven Moderator: Phillip Vitale, Chief Investment Officer, Filament Advisors, LLC (MFO) Panelists: Michael Huber, Founder, Lavien Group
10:00AM	NETWORKING REFRESHMENT BREAK Sponsored by: Arena Investors, LP	
	TRACK A	TRACK B
10:20AM	Real Estate Investing: Opportunistic vs. Value Added vs. Core vs. Debt vs Private Equity	Investing in Niche Strategies Moderator:

	Moderator: DJ Van Keuren, VP of Family Office Capital, Hayman Family Office (SFO) Panelists: Larry Botel, Managing Partner, JOSS Realty Partners Robert A. Bourne, Chief Executive Officer, Bourne Financial Group Jide Famuagun, CEO, Alpha Capital Partners Jason Fooks, Vice President of Investor Relations & Marketing, Safety, Income & Growth Inc. Pamela Michaels, Senior VP, Asset Preservation Inc	Brad Gates, President/COO, Veritas Family Partners (SFO) Panelists: Daniel Hamister, CIO, Hamister Group Kit Lisle, Founder, Managing Director, Acclaro Partners Chad Naylor, CEO and CIO, Naylor & Company Investments, LLC Bill Warshaw, Founder, Warshaw Asset Management, LLC TBA, Broadfin Capital
	TRACK A	TRACK B
11:30AM	Investing in Private Equity/Venture Capital Moderator: Jonathan Bergman, Managing Director, TAG Associates (MFO) Panelists: Marie T. DeFalco, Partner & Vice Chair, Lowenstein Sandler LLP Sean Frank, Managing Partner, Cloud Equity Group Ryan Harris, Partner, Kirkland & Ellis Seth Taylor, CFO & Co-Founder, Mass Innovation Labs	Investing in the Cryptocurrency Markets Moderator: April Rudin, Founder & President, The Rudin Group Panelists: Peter Boockvar, Chief Investment Officer, Bleakley Advisory Group Cliff Friedman, Managing Director, Raptor Group Holding (SFO) Sean Keegan, CEO, Digital Asset Strategies
12:30PM	A Keynote Discussion Speaker: Ron Baron, CEO, Chief Investment Officer, Portfolio Manager, Baron Funds	
1:10PM	Networking Luncheon	
2:30PM	Family Office CIO Roundtable Family Office CIOs are determined to lower fees paid to GPs. Some of these methods include: increasing direct co-investment, seeding GPs (in exchange for lower fees), pressing for performance hurdles and lower fees. In addition, team-constrained CIOs need the expertise, diligence, relationship capital and deal flow from their GPs. Our panel of CIOs will explore how the LP/GP relationship will evolve over the next decade. Moderator: Brian Smiga, Partner, Alpha Venture Partners / Pritzker Group Venture Capital (SFO)	

	Panelists: Howard Coleman, Chief Investment Officer, Coldstream Wealth Management (MFO) Christopher Nolte, President, Marcus Investments (SFO)	
	TRACK A	TRACK B
3:15PM	Investing in Sustainable and Disruptive Technology: Investment that Change the Future - Disruption -- Technical and Medical advancements how to find them – - Attracting Investments from the Family Office Community – How to find and build this relationship - How much interaction do you expect from your investor -- Describe some positive and negative experiences? - Connecting Family Office and Institutional investors to unprecedented access to early stage Technology and Medical investment opportunities – Pluses and Minuses for the family office - Returns—How does the Family Office best capitalize on these investments—Exit vs. IPO Moderator: Nathan McDonald, Managing Partner and CEO, Keiretsu Capital Panelists: Steve Dimmer, CEO, Curvafix Mike Hubbell, Managing Member, Portland Development Group Investments TBA, Keiretsu Capital TBA, Keiretsu Capital	Opportunities in the Fixed Income & Private Credit Markets Moderator: Josh Roach, Managing Partner and Family, Principal, Lloyd Capital Partners (SFO) Panelists: John Brignola, Managing Partner, LBC Credit Partners, Inc. Dimitry Griko, Chief Investment Officer, EG Capital Advisors Elaine M. Hughes, Partner & Chair, Fund Formation & Structuring, Lowenstein Sandler LLP Daniel Zwirn, CEO and Founder, Arena Investors, LP
	TRACK A	TRACK B
4:15PM	Investing in The Energy Markets Moderator: Brad Updike, Director, Mick Law P.C. Panelists: Ron Baron, Senior Analyst, VE Capital Management, LLC Brad Morse, President & Portfolio Manager, Morse Energy Capital Partners Laurance Narbut, Founder, Acceleration Resources	Impact Investing: Driving Social Purpose Through Measurable Investment Returns Moderator: Richard Marker, Co-Principal, Wise Philanthropy; Faculty Co-Director, University of Pennsylvania Center for High Impact Philanthropy Panelists: John Schaetzl, Lead Non-Executive Director, Access to Medicine Foundation Michael Williams, Director, Sixty West LLC

	TRACK A	TRACK B
5:00PM	Portfolio Harmony: Balancing Your Asset Allocation & Portfolio Construction While Managing Risk to Maximize Investment Returns - Risk? What Risk?" Risk is more than volatility. - Net under the High Wire?" Protecting portfolios against volatility. - No, Wait, I Can MAKE Trading?" Investing in volatility. - Prognosis for markets, near and longer-term. - Targeted asset allocation strategies moving forward for family offices - Using technology to monitor cyber and fraud security risks Moderator: Brian DeLucia, Managing Partner, Arrivato LLC (SFO) Panelists: Joseph Meyer Jr, President/Chief Investment Officer, Statim Holdings, Inc. (SFO) Carol Pepper, CEO & Founder, Pepper International LLC (MFO) Michael Pompian, Founder and CIO, Sunpointe Investments (MFO)	Breaking Down ESG/SRI Strategies The term "ESG investing" is tossed around in the media and in boardrooms, but what exactly is it? This panel will discuss ESG strategies from several perspectives. - Is there real money being put to work? - What types of investors are investing with an ESG lens? - How does an investor implement an ESG strategy? Does one give up returns? - Are investment tradeoffs to be considered? - The practical considerations when implementing such a strategy across asset classes. Moderator: Carol Jeppesen, Senior US Network Manager, United Nations Principles for Responsible Investment (UNPRI) Panelists: Roland Van der Meer, Managing Director, Ultra Capital Stephen Viederman, Finance Committee, Christopher Reynolds Foundation
	TRACK A	TRACK B
5:45PM	How to Access the Best Managers via GP-Co Investments or Direct Investment Structures - What is your strategy and what makes it unique opposed to other investments i.e stocks, bonds. - What are your investment criteria when looking at an investment? - How do you find deals? - What is your DD process like? - Are you passive or controlling investors? - Are there any key trends that investors should be wary off? - Families are doing more direct investments. Do you guys allow direct investments? - What is the process? Are there advantages vs. disadvantages compared investing in funds? - What are some of the deals that went wrong and what did you learn? Moderator:	Governance and Trusts: Planning for the Next Generation - Why governance is important - Structures that are utilized to improve governance - Best Practices & Recommendations - Importance of collaboration by the family's multi-disciplinary advisory team - Common pitfalls - Are Family Constitutions Needed & Upholdable? - War stories and success stories (especially anecdotes focused on helping a dysfunctional family move forward) - Key takeaway - Soft issues will determine success or failure! Moderator: Biff Pusey, Senior Wealth Advisor & Portfolio Manager, Keel Point (MFO) Panelists: Howard Cooper, Chief Executive Officer,

	Piyush Bhardwaj, Managing Partner, CoInvestment Partners Panelists: Ron Diamond, CEO, Diamond Wealth Strategies Michael D. Schwamm, Partner, Duane Morris LLP Ira J. Perlmuter, Managing Director, T5 Equity Partners, LLC (SFO)	Cooper Family Office (SFO) Julie Neitzel, Partner, WE Family Offices (MFO) Susan R. Schoenfeld, CEO and Founder, Wealth Legacy Advisors LLC
6:30PM- 7:30PM	Networking Cocktail Reception Sponsored by:	